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1FW Receipt

Docket No.: 17243/002001

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Melwyn Abreo et al.

Application No.: 10/566,857

Confirmation No.: 2274

Filed: January 30, 2006

Art Unit: N/A

For: PYRIDYL DERIVATIVES AND THEIR USE

AS THERAPEUTIC AGENTS

Examiner: Not Yet Assigned

### REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the aboveidentified patent application. The official Filing Receipt received by Applicants, a copy of
which is attached hereto, has a typographical error in the ninth inventor's name. Applicants
respectfully request that the ninth inventor's name be corrected from "Nagasres Chakka" to
--Nagasree Chakka-- as shown in the enclosed copies of:

- 1) the PCT which shows the correct spelling of the ninth inventor's name;
- 2) the signed declaration which has the correct spelling of the ninth inventor's name; and,
- 3) the fifth page of the application data sheet which shows the correct spelling of the ninth inventor's name.

Application No.: 10/566,857

Docket No.: 17243/002001

Applicants additionally request that all pertinent U.S. Patent and Trademark

Office records relating to the subject application be changed to reflect this correction.

Applicants believe no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 17243/002001 from.

Dated: February 7, 2007

Respectfully submitted,

T. Chyau Liang, Ph.D.

Registration No.: 48,885 OSHA · LIANG LLP

1221 McKinney St., Suite 2800

Houston, Texas 77010

(713) 228-8600

(713) 228-8778 (Fax)

PERO 6 7001 WE

Primary Citizenship Country:: Canada

Status:: Full Capacity

Given Name:: Nagasree

Family Name:: Chakka

City of Residence:: Burnaby
Country of Residence:: Canada

Street of mailing address:: 211-6577 Royal Oak Avenue

City of mailing address:: Burnaby

State or Province of mailing address:: BC

Country of mailing address:: Canada

Postal or Zip Code of mailing address:: V5H 3P3

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Canada

Status:: Full Capacity

Given Name:: Shifeng

Family Name:: Liu

City of Residence:: Port Coquitlam

Country of Residence:: Canada

Street of mailing address:: 15-2615 Fortress Drive

City of mailing address:: Port Coquitlam

State or Province of mailing address:: BC

Country of mailing address:: Canada

Postal or Zip Code of mailing address:: V3C 6E8

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Canada

Status:: Full Capacity

Given Name:: Serguei

Family Name:: Sviridov

army reamon

City of Residence:: Burnaby

Country of Residence:: Canada

Application No. (if known): 10/566,857

Attorney Docket No.: 17243/002001

### **Certificate of Express Mailing Under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV882596867US in an envelope addressed to:

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Request for Corrected Filing Receipt with attachments (15 pages)
Transmittal (1 page)
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## RECEIVEDage 1 of 3

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## OSHA . LIANG LLP

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/566,857	01/30/2006	1614	4650	17243002001	 	71	9

**CONFIRMATION NO. 2274** 

FILING RECEIPT

\*OC000000020481916\*

22511 OSHA LIANG L.L.P. 1221 MCKINNEY STREET SUITE 2800 HOUSTON, TX 77010

Date Mailed: 09/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

·新山村中華教育的《四大》的表示。由于《新史》中的

### Applicant(s)

Melwyn Abreo, Jamul, CA; Daniel F. Harvey, San Diego, CA; Mikhail A. Kondratenko, San Diego, CA; Wenbao Li, San Diego, CA; Rajender Kamboj, Burnaby, CANADA; Vishnumurthy Rodumuru, Burnaby, CANADA; Michael D. Winther, Vancouver, CANADA; Heinz W. Gschwend, Santa Rosa, CA; Nagasres Chakka, Burnaby, CANADA; Shifeng Liu, Port Coquitlam, CANADA; Serguei Sviridov, Burnaby, CANADA; Shaoyi Sun, Vancouver, CANADA;

### **Assignment For Published Patent Application**

Xenon Pharmaceuticals Inc., Burnaby, BC, CANADA

Power of Attorney: The patent practitioners associated with Customer Number 22511.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/24542 07/29/2004 which claims benefit of 60/491,140 07/29/2003 and claims benefit of 60/491,080 07/30/2003 and claims benefit of 60/491,116 07/30/2003 and claims benefit of 60/491,322 07/30/2003 and claims benefit of 60/491,322 07/30/2003 and claims benefit of 60/491,141 07/30/2003 and claims benefit of 60/491,322 07/30/2003 and claim



The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/566,857

**Projected Publication Date: 12/28/2006** 

Non-Publication Request: No

Early Publication Request: No

Title

Pyridyl derivatives and their use as therapeutic agents

**Preliminary Class** 

514

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### (19) World Intellectual Property Organization

International Bureau



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### (43) International Publication Date 10 February 2005 (10.02.2005)

PCT

### (10) International Publication Number WO 2005/011654 A2

(51) International Patent Classification7:

A61K 31/00

(21) International Application Number:

PCT/US2004/024542

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English

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(30) Priority Data:

60/491,140	29 July 2003 (29.07.2003)	US
60/491,080	30 July 2003 (30.07.2003)	US
60/491,116	30 July 2003 (30.07.2003)	US
60/491,322	30 July 2003 (30.07.2003)	US
60/491,141	30 July 2003 (30.07.2003)	US

(71) Applicant (for all designated States except US): XENON PHARMACEUTICALS INC. [CA/CA]; 3650 Gilmore Way, Burnaby, British Columbia V5G 4W8 (CA).

(72) Inventors; and

(75) Inventors/Applicants (for US only): ABREO, Melwyn [US/US]; 3373 Armagosa Way, Jamul, CA 91932 (US). HARVEY, Daniel, F. [US/US]; 6254 Lakewood Street, San Diego, CA 92122 (US). KONDRATENKO, Mikhail, A., [RU/US]; 12820 Via Nieve #73, San Diego, CA 92130 (US). LI, Wenbao [CN/US]; 11674 Castile Way, San Diego, CA 92128 (US). KAMBOJ, Rajender [CA/CA]; 5809 Sperling Avenue, Burnaby, British Columbia V5E 2T6 (CA). KODUMURU, Vishnumurthy [CA/CA]; 211-6577 Royal Oak Avenue, Burnaby, British Columbia V5H 3P3 (CA). WINTHER, Michael, D. [CA/CA]; 1935 West 4th Avenue, Vancouver, British Columbia V6J 2K1 (CA). GSCHWEND, Heinz, W. [US/US]; 161 Meadowcroft Way, Santa Rosa, CA 95403 (US). CHAKKA, Nagasree [CA/CA]; 211-6577 Royal Oak Avenue, Burnaby, British Columbia V5H 3P3 (CA). LIU, Shifeng [CA/CA]; 15-2615 Fortress Drive, Port Coquitlam, British Columbia V3C 6E8 (CA). SVIRIDOV, Serguei [CA/CA]; 1904-5885 Olive Avenue, Burnaby, British Columbia V5H 4N8 (CA). SUN, Shaoyi [CA/CA]; #407-1029 East Broadway, Vancouver, British Columbia V5T 1Y8 (CA).

- (74) Agents: ROTH, Carol, J. et al.; Seed Intellectual Property Law Group PLLC, Suite 6300, 701 Fifth Avenue, Seattle, WA 98104-7092 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

### Declaration under Rule 4.17:

of inventorship (Rule 4.17(iv)) for US only

#### Published:

without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: PYRIDYL DERIVATIVES AND THEIR USE AS THERAPEUTIC AGENTS

$$R^{2} - W - N = R^{5} R^{10a} R^{10} R^{7} R^{7a}$$

$$R^{2} - W - N - V - R^{3} \qquad (I)$$

$$R^{6} R^{9a} R^{9a} R^{8a}$$

(57) Abstract: Methods of treating an SCD-mediated disease or condition in a mammal, preferably a human, are disclosed, wherein the methods comprise administering to a mammal in need thereof a compound of formula (I): wherein W, V, x, y, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R6, R7, R7a, R8, R8a, R9, R9a, R10 and R10a are defined herein. Pharmaceutical compositions comprising the compounds of formula (I) are also disclosed.

	Declaration: Invantorable (only for the purposes of the designation of the United States of America) Declaration of invantorable (Rules 4.17(Iv) and 51bis.1(s)(Iv)) for the purposes of the designation of the United States of America:	I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.  This declaration is directed to international application PCT/US2004/024542 (if furnishing declaration pursuant to Rule 26ter).  I hereby declare that my residence, mailing address, and citixenship are as stated next to my name.  I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications", by application number, country or Member of the World Trade Organization, day, month, and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing data before that of the application on which foreign priority is claimed.
VIII- 4-1-1	Prior applications:	60/491,140, US, 29 July 2003 (29.07.2003);60/491,080, US, 30 July 2003 (30.07.2003);60/491,116, US, 30 July 2003 (30.07.2003);60/491,322, US, 30 July 2003 (30.07.2003);60/491,141, US, 30 July 2003 (30.07.2003)

The second secon	I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardise the validity of the application or any patent issued thereon.
1	ABREO, Melwyn

VIII	Name (LAST, First)
4-1-1-1	

VIII-4-1-1-2 (city and either US State, If applicable, or country)

Mailing address:

VEL 4-1-1-3

VIII-4-1-1-4 Citizenship:

VIII-4-1-1-5

Inventor's Signature:
(If not contained in the request, or if
declaration is corrected or added under
Rule 26ter after the filing of the
International application. The signature
must be that of the Inventor, not that of
the agent)

VIII-4-1-1-8

Date:
Of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 25ter after the filing of the international application)

Jamul, California

3373 Armagosa Way Jamul, CA 91932 United . States of America Jamul California United States of America

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1/11/-	Name (LAST, First)	HARVEY, Daniel F.
41-2-1	- '	
MI	Residence: (city and either US State, if applicable, for country)	San Diego, California
VIII- 4-1-2-3	Mailing address:	6254 Lakewood Street San Diego, CA 92122 United States of America San Diego California United States of America
VIII-	Citizenship:	vs .
4-1-2-4		n Dinh
VIII- 4-1-2-5	declaration is corrected or added under Rule 20tor after the filing of the International application. The signature	14 Sept 2004
	must be that of the inventor, not that of the agent)	14 Sept 2004
VIL	Date	1 ' ' '
4-1-2-6	(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 20ter after the filing of the international expelication)	
3.003		
VIII-	Name (LAST, First)	KONDRATENKO, Mikhail A.,
4-1-3-1	Name (LAST, First)  Residence: (city and either US State, if applicable, for country)	San Diego, California
4-1-3-1	Residence: (city and either US State, if applicable, or country) Mailing address:	1
4-1-3-1 VIII- 4-1-3-2 VIII-	Residence: (city and either US State, if applicable, or country) Mailing address:  Citizenship:	San Diego, California  12820 Via Nieve #73 San Diego, CA 92130 United States of America San Diego California United States of America
4-1-3-1 VIII- 4-1-3-2 VIII- 4-1-3-3	Residence: (city end either US State, if applicable, or country) Making address:  Citizenship: Inventor's Signature:	San Diego, California  12820 Via Nieve #73 San Diego, CA 92130 United States of America San Diego California United States of America

	Name (LAST, First)	LI, Wenbao
4-1-4-1 VIII- 4-1-4-2	Residence: (city and either US State, if applicable,	San Diego, California
VIII- 4-1-4-3	or country) Mailing address:	11674 Castile Way San Diego, CA 92128 United States of America San Diego California United States of
		America
VIII- 4-1-4-4	Chizanship:	er usa
VIII- 4-1-4-6	inventor's Signature: (if not contained in the request, or if declaration is corrected or edded under Pode 28ter after the filing of the International application. The signature must be that of the Inventor, not that of the signature.	In the second se
VII⊢ 4-1-4-8	Data (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26tar efter the filing of the international app6cation)	v3 Sept. 2004
VIII- 41-5-1	Name (LAST, First)	RAMBOJ, Rajender
VIII- 4-1-5-2	Residence: (city and either US State, if applicable, or country)	Burnaby, Canada
VIII- 4-1-6-3	Mailing address:	5809 Sperling Avenue Burnaby, British Columbia V5B 2T6 Canada Burnaby British Columbia Canada
VIII- 4-1-5-4	Citizenship:	cx /
VIII- 4-1-5-6	inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the apent)	Sept 24/04
VIII-  4-1-5-6	Dets (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	Sefli of 1/0

VIII	Name (LAST, First)	RODUMURU, Vishnumurthy
4-1-6-1 VIII-	Residence:	Burnaby, Canada
4-1-6-2	(city and either US State, if applicable, or country)	
VIII- 4-1-8-3	Mating address:	211-6577 Royal Oak Avenue Burnaby, British Columbia V5H 3P3 Canada Burnaby British Columbia Canada
VIII- 4-1-6-4	Citizenship:	CA H
VIII- 4-1-8-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the egan)	Capt Ele, 04
VIII- 4-1-6-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 28ter lafter the filing of the international application)	
VIII- 4-1-7-1	Name (LAST, First)	WINTHER, Michael D.
VKH 4-1-7-2	Residence: (city and either US State, if applicable, or county)	Vancouver, Canada
VIII- 4-1-7-3	Metting eddress:	1935 West 4th Avenue Vancouver, British Columbia V6J 2K1 Canada Vancouver British Columbia Canada
VIII- 4-1-7-4	Citizenshipx	CA
VIII- 4-1-7-5	inventor's Signature: (If not contained in the request, or if declaration is corrected or added under	Matel Muster
	Rule 28ter after the filing of the international application. The aignature must be that of the inventor, not that of the agent)	Supt 24. 2004

VUI- 4-1-8-1	Name (LAST, First)	GSCHWEND, Heinz W.
VIII- 4-1-8-2	Residence: (city and either US State, if applicable, or country)	Santa Rosa, California
VIII- 41-8-3	Maling address:	161 Meadowcroft Way Santa Rosa, CA 95403 United States of America Santa Rosa California United States of America
VIII- 4-1-5-4	Citizenstvip:	បន
VRI- 4-1-8-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 28thr after the filing of the International application. The signature must be that of the inventor, not that of the spent)	ling W. Grelward.
VII⊢ 4-1-8-8	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 25ter after the fiting of the international application)	0ct. 05, 2004
VIII- 41-9-1	Name (LAST, First)	CHAKKA, Nagasree
VIII-	Residence: (city and either US State, if applicable, for country)	Burnaby, Canada
V⊞- 4-1- <del>0-3</del>	Mailing address:	211-6577 Royal Oak Avenue Burnaby, British Columbia V5R 3P3 Canada Burnaby British Columbia Canada
VIII- 4-1- <del>0-4</del>	Citizenship:	CA
VIII- 4-1-9-5	Inventor's Signature: ((f not contained in the request, or if declaration is corrected or added under Ruis 26ier after the filing of the international application. The signature must be that of the inventor, not that of the sport)	
VIII- 4-1-9-6	Date (of signature which is not contained in the request, or of the declaration that is cornected or added under Rule 26ter after the filing of the international application)	27 th Sept 2004

VUI- 4-1-10-1	Name (LAST, First)	LIU, Shifeng
VIII- 4-1-10-2	Residence: (city and either US State, if applicable, for country)	Port Coquitlam, Canada
	Mailing address:	15-2615 Fortress Drive Port Coquitlam, British Columbia V3C 6E8 Canada Port Coquitlam British Columbia Canada
VIII- 4-1-10-4	Citizenship:	CA
VIII- 4-1-10-5	Inventor's Signature: (If not contained in the request, or if declaration is consisted or added under Rule 26ter after the filing of the International application. The eignature must be that of the Inventor, not that of ifthe agent)	Shiften him
VIII- 4-1-10-8	Date (of signature which is not contained in the request, or of the declaration that is corrected or action under Rule 28ter star the filing of the international application)	09/24/04
VI#- 4-1-11-1	Name (LAST, First)	SVIRIDOV, Serguei
VII- 4-1-11-2	Residence: (city and either US State, if applicable, for country)	Burnaby, Canada
VIII- 4-1-11-3	Meiling eddress:	1904-5885 Olive Avenue Burnaby, British Columbia V5H 4N8 Canada Burnaby British Columbia Canada
VIII- 4-1-11-4	Citizenship:	CA
	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Sept. 24, 2004
VII- 4-1-11-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 25ter after the filing of the international application)	, and the second

	Name (LAST, First)	SUN, Shaoyi
4-1-12-2	Residence: (city and either US State, if applicable, (or country)	Vencouver, Canada
VIII- 4-1-12-3	Mailing address:	#407-1029 East Broadway Vancouver, British Columbia V5T 1Y8 Canada Vancouver British Columbia Canada
VIII- 4-1-12-4	Clibzenship:	CA
VIII- 4-1-12-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under fortie 20ser other the filing of the international application. The signature must be that of the inventor, not that of (the agent)	a suy 5
VIII-	Data (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 28ter latter the filing of the intermetional application)	09/24/54